

PATENT APPLICATION NO. 09/758,820

ATTORNEY DOCKET NO.: 72167.000232  
RCE AmendmentAmendments to the Specification:

*Please replace the paragraph at page 7, lines 21-25 with the following paragraph:*

-- Also connected to LIS 10 is a system for image processing 16 10, which facilitates on-line reproduction of paper forms, and assists in reducing paper storage needs. Image processing 16 10 stores forms completed by agents, agencies, carriers or licensing institutions to retain a record of various licensing and reporting requirements.

*Please replace the paragraph at page 10, lines 2-10 with the following paragraph:*

-- LIS 10 permits a user to view and update information regarding an agent's license in agent details 30. Agent licensing information 34 includes such items as license status, states in which the agent is licensed, license numbers, the issue date of the license, the effective date of the license, license information for any agency to which the agent belongs, agency license renewal dates and so forth. As discussed above, LIS 10 provides a search tool to locate an agent based on name, social security number, or employee number. In addition, when particular fields within agent licensing information 34 are updated, a prompt is provided to the agent comprising information or appropriate instructions for taking action. --

*Please replace the paragraph at page 11, lines 12-17 with the following paragraph:*

-- Referring now to Fig. 4, agency details 20 includes an agency profile 22. Agency profile 22 includes such items as the agency name, address, tax ID, contact information and the like. Agency profile 22 also includes the different agency branch information, including branch addresses and the like. The various officers of the agency and their relevant information, such as contact information, are ~~is~~ also listed in agency profile 22. --

*Please replace the paragraph at page 15, lines 5-13 with the following paragraph:*

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-- LIS 10 reacts to entry or modification of information in agent details 30 or agency details 20 by analyzing the changes and initiating proactive measures designed to contribute to keeping agent and agency licenses current. Modifications to the information in agent details 30 or agency details 20 may be requested by an agent upon a change in status by e-mail, postal mail, or automatically by receipt of a notification from a licensing authority, such as a state insurance department. Updates to the information in the various system components 20-90 can be set to be activated immediately, whereby any reports or queries conducted after the update will reflect any changes made. --

*Please replace the paragraph at page 15, line 26 - page 16, line 2 with the following paragraph:*

-- LIS 10 permits a user to access agent profile 32 to obtain particular information related to an agent or group of agents. The user may, depending upon security permissions, search for agents based on specific criteria, select one or more agents from the search results, view complete agent profiles and compose reports based on the search results. The user may also update agent profile 32 with changes, or add or delete agent information or profiles. [;:] --

*Please replace the paragraph at page 20, line 27 - page 21, line 11 with the following paragraph:*

-- A facility within LIS 10 permits a user to create ad-hoc reports using a report creation tool, which can draw on report forms already used within the system. For example, a user can take a report for specifying expiration dates of agent licenses or appointments, and create a new report using a portion or all of the elements of the existing reports. Reports may also be created from scratch using the report creation tool. Reports can have fields which are tied to information contained in system components 20-90, so that any ~~an~~ changes in system information, occurring as a result of an update, for example, are reflected in later generated reports. The various parts of a report, such as tables of information, can be populated with information resulting from a search or query conducted on the various system components 20-90. Because

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LIS 10 can exist in a distributed form on a local or wide area network, reports can draw information from a variety of sources related to areas of functionality. For example, financial information can be coupled with licensing information and CE requirements to advise a decision on obtaining CE instruction within a budget. --

*Please replace the paragraph at page 22, lines 2- 12 with the following paragraph:*

-- LIS 10 is designed to be user friendly and simple to use, while containing all the information needed for a particular entity to meet its insurance services needs. LIS 10 further includes capabilities for image processing 16 to assist in decreasing space needed for paper storage. The system is expandable to include a number of facets available for a wide range of users. If a particular user wishes to use only a small part of LIS 10, a system enabled only for those needs can be implemented. For example, an agent may wish only to keep track of his own personal licensing requirements, and be updated with CE requirements and changing license status. A system can be implemented through LIS 10 to provide for the present needs of the agent, while having greater functionality that can be enabled as the scope of the agent's ~~agents~~ need broadens. --